

BMI Securities Limited

Fees and Charges (effective from 01/04/2019)

SERVICE	PARTICULARS	CHARGES
Trade-related Services	Commission: Broker Services	0.25% on gross value of transaction Minimum HK\$100.00 per transaction
	Electronic Trading Services	0.15% on gross value of transaction Minimum HK\$ 50.00 per transaction
	Transaction Levy	0.0027% on gross value of transaction
	Trading Fee	0.005% on gross value of transaction
	Settlement Fee	0.005% on gross value of transaction subject to a minimum fee of HK\$ 2.00
	Stamp Duty	0.10% on gross value of transaction (round up to the nearest HK\$1.00)
	Italian Financial Transaction Tax	0.20% on gross value of transaction
Scrip handling and settlement related services	Transfer Deed Stamp Duty	HK\$ 5.00 per deed
	Physical Stock Withdrawal :	CCASS Fee -HK\$ 3.50 per lot Handling Fee - HK\$ 1.50 per lot (Min HK\$ 200.00)
	Handling Charge for Share Certificate Returned or Rejected by Registrar	HK\$150.00 per stock
	CCASS SI/ISI Settlement Fee	0.01% on gross value of transaction subject to a minimum fee of HK\$ 2.00 Handling Charge - SI : HK\$ 200.00 per Stock ISI: HK\$ 50.00 per Delivery order
	Compulsory Share Buy-back	CCASS Default Fee - 0.25% on gross value of transaction
Account Maintenance	Reproduction of Statement	HK\$ 10.00 per copy (Waived for electronic copy within 3 months) (Issue of statements beyond 6 months is HK\$ 100.00 per copy)
	Confirmation of Securities or Account Balance	HK\$150.00
	CCASS Segregate Account Monthly Charge	HK\$ 30.00 per month (Handling for account opening HK\$100.00 per request)
Nominee Services And Corporate Actions	CCASS Corporate Action Charge (Rights, Offer, Warrant and Takeover subscriptions, etc.)	HK\$ 0.80 per board lot Handling Charge - HK\$ 60.00 per order (Maximum fee of HK\$500.00)
	Cash Dividend : Scrip Fee CCASS Dividend Levy Handling Charge Deemed Scrip Fee	HK\$ 2.00 per board lot or part thereof on net increase in balance in the stock since the date on which fee was last collected 0.12% of dividend amount 0.50% on dividend amount (Minimum fee of HK\$ 10.00) HK\$1.25 per board lot or part thereof
	Stock Dividend : Scrip Fee Handling Charge	HK\$1.50 per board lot or part thereof HK\$10.00 per allocation
	Retrace Dividend from CCASS :	CCASS Charge HK\$200.00 per claim Handling Charge HK\$200.00 per order
Financing And Other Service	Handling Charge for IPO Subscription	HK\$100.00 per application for IPO financing service HK\$30.00 per application for IPO cash subscription
	Financing Rate for IPO Subscription	Subject to market rate
	Penalty for Returned Cheque	HK\$100.00
	Local Remittance (CHATS)	HK\$100.00 per order
	Overseas Remittance (T.T.)	HK\$ 100.00 plus bank charges
	AASTOCKS Streaming Real Time Stock Quote	HK\$ 388.00 monthly

The above fees are subject to change from time to time without prior notice.

All other disbursements and out-of-pocket expenses incurred by the BMI Securities will be charged to the accounts of the respective clients.